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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/630,563	08/02/2000	Keiichi Nakajima	PM 271791 NI-0006PCTUS

Pillsbury Madison & Sutro LLP
Intellectual Property Group
Ninth Floor
1100 New York Avenue NW
Washington, DC 20005-3918



FORMALITIES LETTER



OC000000005434905

Date Mailed: 09/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The application was filed in a language other than English.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/29/2000 HHAR:COL 00000001 09630563
01 FC:102 240.00 CP

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility ApplicationsPATENT
APPLICATIONCOMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): NAKAJIMA

Attn: Application Division

Appln. No.:

09

630,563

Atty.Dkt.

PM

271791

NI-0006PCTUS

Series Code ↑

Serial No. ↑

M#

Client Ref

Filed: August 2, 2000

Title: ELECTRONIC SETTLEMENT SYSTEM, SETTLEMENT APPARATUS, AND TERMINAL

Hon. Commisioner of Patents
Washington, DC 20231

Date: December 28, 2000

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy attached ☐ not yet received
2. ☐ Signed Declaration attached. ☐ Original ☐ Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☒ Specification originally filed in non-English language; hence verified translation attached of:
- a. ☒ Abstract
- b. # 62 pages of Specification (only spec. & claims)
- c. ☒ Drawing(s) 27
No of Sheets
- ☒ Fig(s). 1-27
4. ☐ Letter filing formal drawing attached.
5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) PCT/JP99/04178	August 2, 1999	(2) PCT/JP00/02015	March 29, 2000
(3)		(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(b)

9. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
10. Small Entity Status ☒ ☐ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☒ Attached: Form PTO-1449, copy of each cited reference, International Search Report dated July 7, 2000, and Verification of translation
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee				Design Application Not Design Application	\$320/\$160 \$710/\$355	+ Paid 106/26 101/201
14. Total Effective Claims	29	minus 20 =	9	x \$18/\$9	Paid	103/203
15. Independent Claims	6	minus 3 =	3	x \$80/\$40	+240	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$270/\$135	+0	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+0	105/205
18. FILING FEE ENCLOSED =				\$ 240		
19. Original due date: November 28, 2000						
20. Petition is hereby made to extend the <u>original</u> due date to				(1 mo)	\$110/\$55 =	+110 115/215
cover the date this response is filed for which the requisite fee				(2mos)	\$390/\$195 =	116/216
is attached				(3mos)	\$890/\$445 =	117/217
				(4mos)	\$1390/\$695 =	118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	Paid	139
22. If "assignment" box 5 is X'd, add recording fee.				\$40	+0	581
23. Petition Fee for				\$130	+0	
24. TOTAL FEE ENCLOSED =				\$ 350		

Our Deposit Account No. 03-3975

Our Order No.

7874

271791

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Madison & Sutro LLP
Intellectual Property Group

 1100 New York Avenue, NW
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By Atty: Glenn J. PerryReg. No. 28458Sig: Fax: (202) 822-0944
 Tel: (202) 861-3000
 Atty/Sec: GJP/ded
Tel: (202) 861-3070**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**

VERIFICATION

The undersigned hereby declares that he is conversant with Japanese and English languages and that he is the translator of the documents attached and certifies that to the best of his knowledge and belief the attached is a true and correct translation of:

09/630,563 filed in U. S. on August 2, 2000.

佐田 美加

Mika SATA
c/o RYUKA IP LAW FIRM
Toshin Bldg., 6F, 24-12, Shinjuku 1-chome,
Shinjuku-ku, Tokyo, Japan

Dec. 12th. 2000

Date